

# Structures and charge distributions of cationic and neutral $\text{Li}_n\text{X}_m$ ( $\text{X} = \text{Na}$ and $\text{K}$ )

Zhen-Yi Jiang<sup>a,b</sup>, Kuo-Hsing Lee<sup>c</sup>, Sheng-Tao Li<sup>b</sup>, San-Yan Chu<sup>c,\*</sup>

<sup>a</sup> Multi-disciplinary Materials Research Center, Xi'an Jiaotong University, Xi'an 710049, China

<sup>b</sup> State Key Laboratory for Mechanical Behavior of Materials, Xi'an Jiaotong University, Xi'an 710049, China

<sup>c</sup> Department of Chemistry, National Tsing Hua University, Hsinchu, 30013, Taiwan

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## Abstract

The structures, stability, and charge distributions in the  $\text{Li}_n\text{X}_m$  ( $\text{X} = \text{Na}$  and  $\text{K}$ ,  $n + m = 1-7$ ,  $m = 1-2$ ) neutral and cationic clusters have been investigated using B3LYP method. Structural optimizations and frequency analyses were performed with the basis set of 6-311G(d). Our results reveal that all  $\text{Li}_n\text{X}_m$  clusters can be viewed as substitutions of peripheral Li atoms with Na or K atoms in the  $\text{Li}_{n+m}$ . Atomic charges depend on its position and ability to obtain/lose electron. In various mixed lithium species,  $\text{Li}_n\text{X}_m$  ( $n + m = \text{even number}$ ) and  $\text{Li}_n\text{X}_m^+$  ( $n + m = \text{odd number}$ ) clusters are predicted to be more stable, which can be attributed to difficulty in removing an electron from the doubly occupied HOMO of a closed-shell system. © 2006 Elsevier B.V. All rights reserved.

**Keywords:** Alkali metal cluster; Stability; Geometric configuration; Charge distributions; Evaporation experiments

## 1. Introduction

Study of small metal clusters has been a subject of intense interest from both experimental and theoretical points of view, mainly because of the strong dependence of their electronic structures and properties on their sizes and geometries as well as their elementary valence electron structure. In particular, alkali metal clusters have been considered to be one of the prototype systems for the study of size-dependent electronic properties, such as binding energy, ionization potential, electron affinity, etc. The pronounced abundances in the mass spectra of alkali metal clusters generally imply clusters of special stability at particular number of electrons viz. 2, 8, 18, 20, etc. Many theoretical calculations have been carried out for alkali metal clusters, however, most studies were focused on the pure alkali metal clusters [1–17], nevertheless much less is known for the mixed Li–Na or Li–K clusters [18–25].

In recent years a large number of binary clusters of lithium with some metallic or nonmetallic elements including sodium, aluminum, fluorine etc have been produced by laser vaporization

of various lithium targets in high vacuum [18–22,26,27]. However the laboratory spectroscopy has not yet been well explained due to the lack of the reliable information of their geometrical and electronic structures. Despite the geometrical arrangements of  $\text{Li}_n\text{Na}_m$  ( $n + m < 5$ ) have been studied [18–25], their electronic charge distributions have not been reported. Deshpande et al. [28,29] studies  $\text{Li}_n\text{Na}$  and  $\text{Na}_n\text{Li}$  ( $0 < n < 13$ ) clusters with ab initio molecular dynamic method, however, the reliable geometrical structures and charge distributions of these clusters have not been given due to the limitation of the molecular dynamic methods. Up to now no systematic study of geometries and charge distributions has been reported for  $\text{Li}_n\text{X}_m$  ( $\text{X} = \text{Na}$  and  $\text{K}$ ) charged and neutral clusters. Hence it is of interest to carry on an investigation to reveal the geometrical and electronic charge distributions of doped lithium clusters in the  $\text{Li}_n\text{Na}_m$  and  $\text{Li}_n\text{K}_m$  ( $n = 1-6$ ,  $m = 1-2$ ) clusters.

## 2. Computational methods

Initial geometrical optimizations were performed at the B3LYP/6-31G(d) level without any symmetry constraints, except for those needed to maintain a particular geometry. These isomers were further optimized at B3LYP/6-311G(d) level. After optimizations, partial charges were obtained from natural pop-

\* Corresponding author. Tel.: +886 35715131/33390.

E-mail addresses: [jiang\\_chemphy@yahoo.com](mailto:jiang_chemphy@yahoo.com) (Z.-Y. Jiang), [sychu@mx.nthu.edu.tw](mailto:sychu@mx.nthu.edu.tw) (S.-Y. Chu).

ulation analysis (NPA) [30,31]. The NPA solves most of the problems of the Mulliken scheme by construction of a more appropriate set of atomic basis functions, and so the atomic charges from NPA are reliable and usually independent of the basis sets. The Mulliken atomic charge distributions were also reported for comparison in bracket at the same level despite its well-known shortcoming in overestimating the net atomic charge [30–33]. Frequency analyses were also performed at the final theoretical level to check whether the optimized structures are transition states or true minima on the potential energy surfaces of corresponding clusters. All of the obtained most stable charged and neutral  $\text{Li}_n\text{X}_m$  clusters were characterized as energy minima without imaginary frequencies. The first electron ionization potentials (IPs) of various clusters were calculated with adiabatic approximation. All calculations were carried out using the GAUSSIAN03 program [34].

In the geometry optimizations of  $\text{Li}_n\text{X}_m$  ( $m = 1-2$ ), we started with three structures; (1) the same structure as  $\text{Li}_{n+m}$  determined by Refs. [1–17] where one/two Li atoms were substituted by one/two X atoms, (2) the structure where one Li atom was added to a  $\text{Li}_{n-1}\text{X}_m$  cluster, and (3) the structure where one/two X atoms were added to a  $\text{Li}_n\text{X}_{m-1}/\text{Li}_n\text{X}_{m-2}$  molecule.

### 3. Theoretical results

#### 3.1. Geometry and charge distributions

The ground state geometric sketches of  $\text{Li}_n\text{X}_m$  and  $\text{Li}_n\text{X}_m^+$  ( $n + m = 3-7$ ,  $m = 1-2$ ) clusters were shown in Figs. 1 and 2, respectively. The “bonds” are shown for internuclear separa-

tions less than 3.6 Å (Li–Li), 3.7 Å (Li–Na), 4.3 Å (Na–Na), 4.5 Å (Li–K), and 5.9 Å (K–K), respectively. The internuclear separations of the geometrical structures of all ground states are given in Tables 1 and 2. The SCF energies and zero point energies (ZPE) of all clusters are reported in Tables 3 and 4.

The photoelectron spectra [35–37] of  $\text{Li}_n^-$  ( $n = 2-5$ ) clusters are similar to those of  $\text{Na}_n$  and  $\text{K}_n$  clusters in the relative peak positions and their envelopes, which suggest that the pure  $\text{Li}_n$ ,  $\text{Na}_n$  and  $\text{K}_n$  clusters have analogical geometrical structures. Secondly, All theoretical studies of pure  $\text{Li}_n$  [1–17],  $\text{Na}_n$  [15,38–47] and  $\text{K}_n$  [1,44] ( $n = 3-7$ ) have also predicted their similarities in geometry. This is attributed to the fact that Li, Na and K atoms take a similar valence structure due to the same family in the periodic table. Thus the calculated geometrical and electronic structures of  $\text{Li}_n\text{X}_m$  ( $X = \text{Li, Na, and K; } m = 1-2$ ) clusters should be analogous to those of pure  $\text{Li}_{n+m}$  clusters. Our calculations as follows agree with these initial guess, which suggest our theoretical methods and final structures to be reliable.

#### 3.1.1. $\text{LiX}$

$\text{Li}_2^+$  ( $^2\Sigma_g^+$ ,  $D_{\infty h}$ ),  $\text{LiNa}^+$  and  $\text{LiK}^+$  ( $^2\Sigma_g^+$ ,  $C_{\infty v}$ ) have longer bond lengths relative to their neutral molecules ( $^2\Sigma_g^+$ ,  $C_{\infty v}$ ). Interestingly, the binding energies of  $\text{Li}_2^+$  and  $\text{LiNa}^+$  are 1.27 and 1.03 eV, respectively, which are higher than those of corresponding molecules (0.90 and 0.80 eV). Nevertheless the binding energy (0.56 eV) of  $\text{LiK}^+$  is smaller than that of neutral  $\text{LiK}$  (0.66 eV). Our binding energies calculated agrees satisfactorily with experimental observations for  $\text{Li}_2$  (1.057 eV) [48] and  $\text{Li}_2^+$  (1.298 eV) [49]. The Li and Na atoms bear 0.46 and 0.54e charge

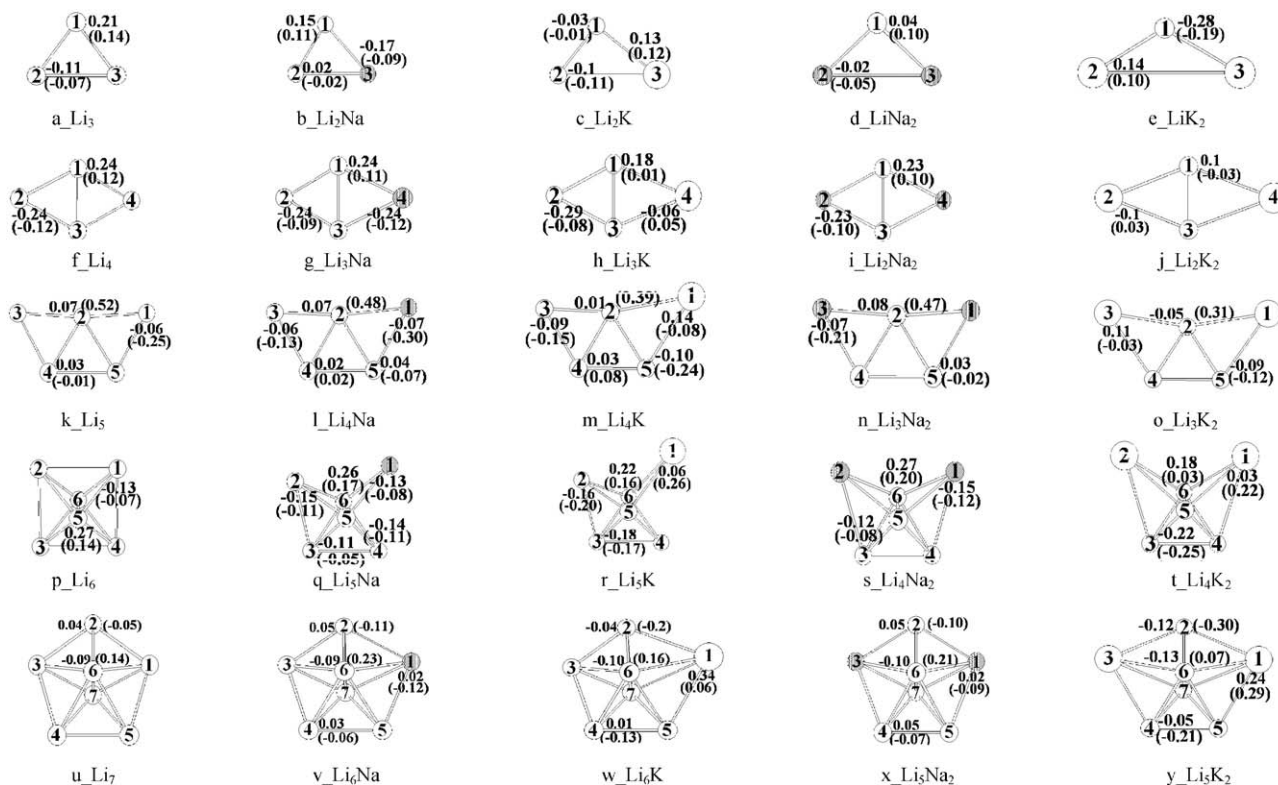


Fig. 1. Neutral ground-state structures of  $\text{Li}_n\text{X}_m$  clusters. Li and K atoms are shown by the smaller and bigger white ball, respectively.

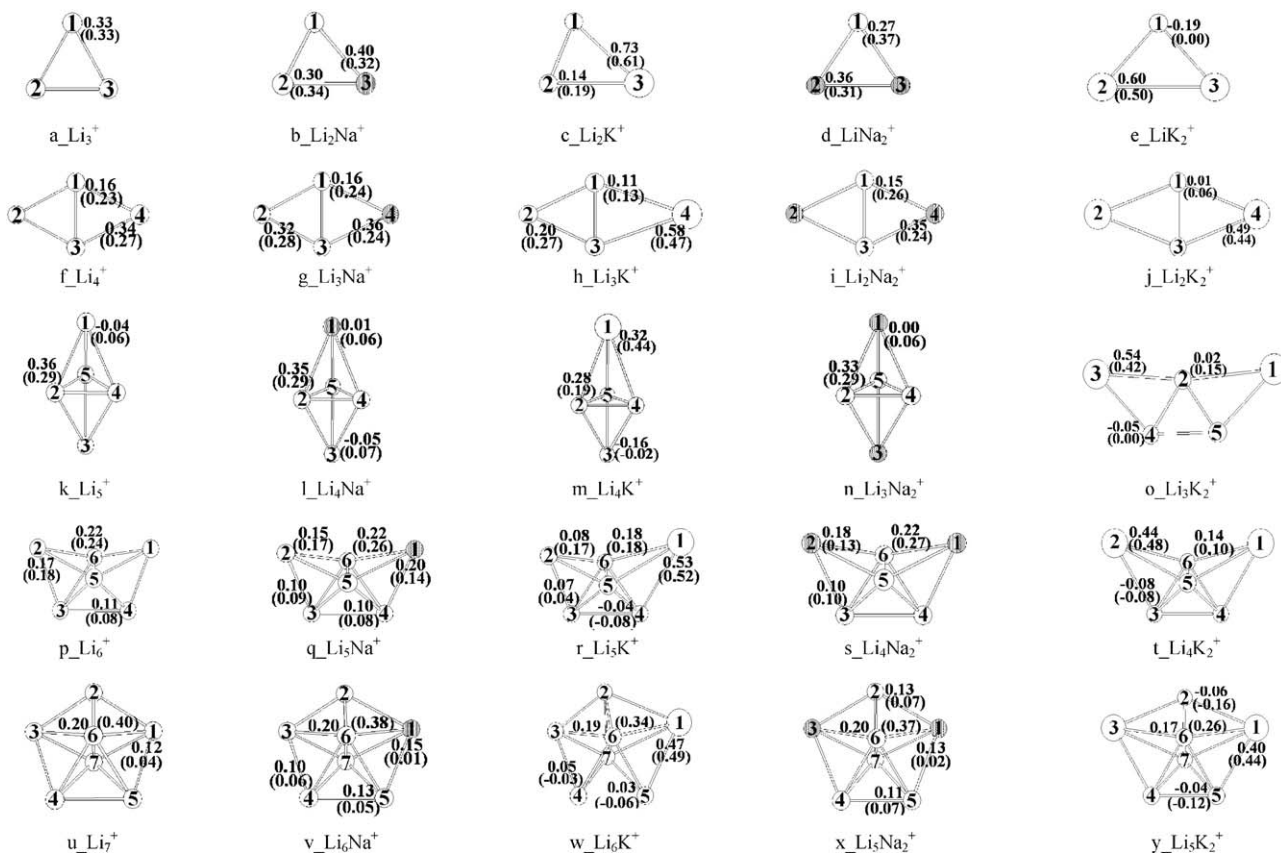


Fig. 2. Cationic ground-state structures of  $\text{Li}_n \text{X}_m^+$  clusters. Li and K atoms are shown by the smaller and bigger white ball, respectively.

in the  $\text{LiNa}^+$ , respectively. The binding energy of  $\text{Li}_2^+$  is greater than that of  $\text{LiNa}^+$  cation, which suggests that  $\text{Li}_2^+$  are more energetically favorable over the  $\text{LiNa}^+$  cation.

The behaviors of  $\text{Li}_2$  and  $\text{LiNa}$  upon ionization can be rationalized as follows [50]. As in the simple molecular orbital (MO) binding model, removal of a binding electron reduces the electron density between the nuclei, causing a bond length increase. The core/valence interactions in a molecule like  $\text{Li}_2$  are actually quite large relative to the valence/valence interactions. In moving from a  $\text{Li}_2$  diatom to  $\text{Li}_2^+$  the core orbitals are stabilized by 0.24 hartree, which is more than the increase in energy for the loss of a bonding electron since alkali-metal dimers are weakly bonded. The presence of polarization in the ion and the core/valence electrons interactions [10] helps to stabilize the  $\text{Li}_2^+$  and  $\text{LiNa}^+$  bond and to enhance the attraction between the nuclei at long range. In  $\text{LiK}^+$  system polarization effects are not marked and then finally cannot produce qualitative anomalies like the  $\text{Li}_2^+ - \text{Li}_2$  and  $\text{LiNa}^+ - \text{LiNa}$  binding energy difference.

### 3.1.2. $\text{Li}_{3-m}\text{X}_m$

The global minima of  $\text{Li}_2\text{Na}$  and  $\text{Li}_2\text{K}$  are triangle ( ${}^2\text{A}'$ ,  $\text{C}_s$ ) configurations Fig. 1(b) and (c), which can be viewed as a substitution of the peripheral Li atom with the most negative charges by a Na/K atom in a triangle  $\text{Li}_3$  ( ${}^2\text{B}_2$ ,  $\text{C}_{2v}$ ) molecule [2–7,11,12,14–16,21,23,51] Fig. 1(a). The electronic charges redistribute in the  $\text{Li}_2\text{X}$  after the X atom occupies the Li atomic

position in the triangle  $\text{Li}_3$  molecule. K and Na atoms bear positive and negative charges, respectively.

The structures of  $\text{LiNa}_2$  and  $\text{LiK}_2$  are determined as triangle ( ${}^2\text{B}_2$ ,  $\text{C}_{2v}$ ) configurations Fig. 1(d) and (e), which can be viewed as two substitutions of the peripheral Li atoms by two Na/K atoms in a triangle  $\text{Li}_3$  ( ${}^2\text{B}_2$ ,  $\text{C}_{2v}$ ) cluster Fig. 1(a).

The symmetries of  $\text{Li}_3^+$  ( ${}^1\text{A}'_1$ ,  $\text{D}_{3h}$ ),  $\text{Li}_2\text{Na}^+$  and  $\text{Li}_2\text{K}^+$  ( ${}^1\text{A}_1$ ,  $\text{C}_{2v}$ ) rise ( $\text{C}_{2v} \rightarrow \text{D}_{3h}$ ,  $\text{C}_s \rightarrow \text{C}_{2v}$ ) upon charging. The binding energies of  $\text{Li}_3^+$ ,  $\text{Li}_2\text{Na}^+$  and  $\text{Li}_2\text{K}^+$  are 2.84, 2.52 and 1.80 eV, respectively, which are higher than those of corresponding molecules (1.43, 1.30 and 1.16 eV).

The binding energies of  $\text{LiNa}_2^+$  and  $\text{LiK}_2^+$  are 2.41 and 1.79 eV, respectively, which are also higher than those of corresponding molecules (1.16 and 0.93 eV). The higher binding energies of  $\text{Li}_3^+$ ,  $\text{Li}_2\text{Na}^+$ ,  $\text{LiNa}_2^+$ ,  $\text{Li}_2\text{K}^+$ , and  $\text{LiK}_2^+$  cationic species can be attributed to filled-shell with two valence electrons.

### 3.1.3. $\text{Li}_{4-m}\text{X}_m$

Dahlseid et al. [19] and others [18,21] investigated several different neutral  $\text{Li}_3\text{Na}$  isomers with ab initio and DFT methods. We support their predictions that the energetically most favorable isomer is the planar rhombus ( ${}^1\text{A}_1$ ,  $\text{C}_{2v}$ ) Fig. 1(g). Both  $\text{Li}_3\text{Na}$  and  $\text{Li}_3\text{K}$  Fig. 1(h) can be described as a substitution of the peripheral Li atom with the most negative charges by a Na/K atom in a rhombus  $\text{Li}_4$  ( ${}^1\text{A}_g$ ,  $\text{D}_{2h}$ ) molecule

Table 1  
Distances between two atoms ( $L$ , Å) in  $\text{Li}_n\text{X}_m$  molecules

	Type	$L$		Type	$L$
$\text{Li}_2$		2.705	$\text{Li}_6$	1-2	3.527
$\text{LiNa}$		2.891		5-6	2.546
$\text{LiK}$		3.358	$\text{Li}_5\text{Na}$	1-2	4.304
$\text{Li}_3$	1-2	2.757		3-4	3.204
	2-3	3.299		2-3	3.276
$\text{Li}_2\text{Na}$	1-2	2.690		1-4	3.957
	2-3	3.546		1-5	3.065
	1-3	3.096		4-5	2.866
$\text{Li}_2\text{K}$	1-2	2.781		5-6	2.532
	2-3	4.478	$\text{Li}_5\text{K}$	1-5	3.550
	1-3	3.488		2-3	3.240
$\text{LiNa}_2$	1-2	3.001		2-5	2.871
	2-3	4.260		3-5	2.828
$\text{LiK}_2$	1-2	3.512		5-6	2.530
	2-3	5.898	$\text{Li}_4\text{Na}_2$	1-2	4.898
$\text{Li}_4$	1-2	3.010		3-4	3.082
	1-3	2.580		2-3	3.628
$\text{Li}_3\text{Na}$	1-2	3.005		1-5	3.120
	1-3	2.608		5-6	2.545
	1-4	3.216	$\text{Li}_4\text{K}_2$	1-2	5.436
$\text{Li}_3\text{K}$	1-2	3.022		3-4	3.178
	1-3	2.617		2-3	4.186
	1-4	3.748		1-5	3.607
$\text{Li}_2\text{Na}_2$	1-2	3.212		5-6	2.546
	1-3	2.636	$\text{Li}_7$	1-2	3.022
$\text{Li}_2\text{K}_2$	1-2	3.772		6-7	2.730
	1-3	2.646	$\text{Li}_6\text{Na}$	1-2	3.273
$\text{Li}_5$	1-2	2.974		1-6	3.217
	1-5	2.963		2-6	2.932
	2-5	2.859		6-7	2.758
	4-5	3.045		3-6	2.899
$\text{Li}_4\text{Na}$	1-2	3.203		3-4	3.041
	1-5	3.166	$\text{Li}_6\text{K}$	1-2	3.740
	2-5	2.888		1-6	3.761
	2-4	2.839		2-6	2.945
	4-5	3.035		6-7	2.754
	2-3	2.970		3-6	2.906
	3-4	2.972		3-4	2.994
$\text{Li}_4\text{K}$	1-2	3.706	$\text{Li}_5\text{Na}_2$	1-2	3.206
	1-5	3.710		2-6	2.963
	2-5	2.905		1-6	3.212
	2-4	2.806		6-7	2.784
	4-5	3.049		4-5	2.919
	2-3	2.971		4-6	2.914
	3-4	2.969	$\text{Li}_5\text{K}_2$	1-2	3.688
$\text{Li}_3\text{Na}_2$	1-2	3.199		2-6	2.971
	1-5	3.174		1-6	3.775
	2-5	2.869		6-7	2.782
	4-5	3.027		4-5	2.920
$\text{Li}_3\text{K}_2$	1-2	3.704		4-6	2.934
	1-5	3.704			
	2-5	2.865			
	4-5	3.049			

[2–8,10–12,14–16,21,51]. This substitution of the peripheral Li atom can lead to the slightest geometrical reconstruction because of the larger atomic radius of X atom. This replacement will keep the global minima of geometrical structures in the course of substitution from pure lithium to the mixed clusters. After substitution all charges will redistribute depending on the atomic positions and ability to obtain/lose elec-

tron. Different charges between Na and K atoms show the influence of IP on the charge distribution in  $\text{Li}_3\text{Na}$  and  $\text{Li}_3\text{K}$  molecules.

Calculations [18–20] on the  $\text{Li}_2\text{Na}_2$  cluster indicates that the most stable neutral isomer is a rhombus ( $^1\text{A}_g, D_{2h}$ ) configuration Fig. 1(i). The rhombus ( $^1\text{A}_g, D_{2h}$ ) configurations Fig. 1(i) and (j) can be viewed as two substitutions of the peripheral Li atoms

Table 2  
Distances between two atoms ( $L$ , Å) in  $\text{Li}_n\text{X}_m^+$  cations

Type	$L$	Type	$L$	Type	$L$
$\text{Li}_2^+$	3.088	$\text{Li}_3\text{Na}_2^+$	1-2 3.382	$\text{Li}_4\text{K}_2^+$	1-4 3.839
$\text{LiNa}^+$	3.357		2-4 2.740		1-5 3.903
$\text{LiK}^+$	3.854	$\text{Li}_3\text{K}_2^+$	1-2 4.112		3-4 3.285
$\text{Li}_3^+$	1-2 2.952		1-3 8.197		4-5 2.921
$\text{Li}_2\text{Na}^+$	1-2 2.957		1-5 3.574		5-6 2.548
	2-3 3.192		2-5 2.839	$\text{Li}_7^+$	1-2 3.183
$\text{Li}_2\text{K}^+$	1-2 2.841		4-5 3.654		6-7 2.438
	2-3 3.804	$\text{Li}_6^+$	1-4 3.074	$\text{Li}_6\text{Na}^+$	1-2 3.422
$\text{LiNa}_2^+$	1-2 3.196		1-5 3.058		1-6 3.265
	2-3 3.399		3-4 3.351		2-6 2.983
$\text{LiK}_2^+$	1-2 3.618		4-5 2.938		6-7 2.452
	2-3 4.702		5-6 2.530		3-6 2.966
$\text{Li}_4^+$	1-2 3.103	$\text{Li}_5\text{Na}^+$	1-4 3.307		3-4 3.221
	1-3 2.710		1-5 3.324	$\text{Li}_6\text{K}^+$	1-2 3.943
$\text{Li}_3\text{Na}^+$	1-2 3.065		4-5 2.961		1-6 3.895
	1-3 2.735		5-6 2.552		2-6 2.978
	1-4 3.380		2-3 3.079		6-7 2.452
$\text{Li}_3\text{K}^+$	1-2 3.015		2-5 3.061		3-6 2.968
	1-3 2.695		3-5 2.922		3-4 3.127
	1-4 4.032		3-4 3.315	$\text{Li}_5\text{Na}_2^+$	1-2 3.361
$\text{Li}_2\text{Na}_2^+$	1-2 3.335	$\text{Li}_5\text{K}^+$	1-4 3.913		2-6 2.997
	1-3 2.759		1-5 3.904		1-6 3.266
$\text{Li}_2\text{K}_2^+$	1-2 3.899		4-5 2.946		6-7 2.469
	1-3 2.690		5-6 2.534		4-5 3.077
$\text{Li}_5^+$	1-2 3.148		2-3 3.054		4-6 2.979
	2-4 2.692		2-5 3.050	$\text{Li}_5\text{K}_2^+$	1-2 3.885
$\text{Li}_4\text{Na}^+$	1-2 3.375		3-5 2.895		2-6 2.968
	2-3 3.155		3-4 3.313		1-6 3.908
	2-4 2.716	$\text{Li}_4\text{Na}_2^+$	1-4 3.312		6-7 2.472
$\text{Li}_4\text{K}^+$	1-2 3.969		1-5 3.328		4-5 3.070
	2-3 3.164		3-4 3.281		4-6 2.976
	2-4 2.700		4-5 2.946		
			5-6 2.575		

with the most negative charges by two Na/K atoms in a rhombus  $\text{Li}_4$  cluster Fig. 1(f).

The  $\text{Li}_3\text{X}^+$  and  $\text{Li}_2\text{X}_2^+$  cationic species have analogical structures to their corresponding molecules. All atoms possess positive charges, and K atoms bear the most positive charges. The  $\text{Li}_3\text{X}^+$  can be described as a substitution of the peripheral Li atom with the most positively charges by a Na/K atom in a rhombus  $\text{Li}_4^+$  cations. The X atom apparently prefers

to occupy the peripheral positions rather than those with the most negative/positive charge in the cluster when the electronic charges are taken into account in both neutral and cationic clusters.

### 3.1.4. $\text{Li}_{5-m}\text{X}_m$

Deshpande et al. [28] predicted the ground state of  $\text{Li}_4\text{Na}$  to be a bipyramid analogical to the Fig. 2(1) with MD method.

Table 3  
B3LYP SCF energies and ZPEs values at 6-311G(d) level for  $\text{Li}_n\text{X}_m$

$\text{Li}_n\text{Na}_m$				$\text{Li}_n\text{K}_m$		
$n/m$	Symmetry	Energy (a.u.)	ZPE (a.u.)	Symmetry	Energy (a.u.)	ZPE (a.u.)
1/1	$C_{\infty v}$	169.8074	0.0006	$C_{\infty v}$	607.4418	0.0005
2/1	$C_s$	177.3168	0.0013	$C_s$	614.9514	0.0011
3/1	$C_{2v}$	184.8489	0.0027	$C_{2v}$	622.4788	0.0025
4/1	$C_s$	192.3693	0.0037	$C_s$	630.0014	0.0035
5/1	$C_s$	199.9051	0.0056	$C_{2v}$	637.5368	0.0054
6/1	$C_{2v}$	207.4377	0.0069	$C_{2v}$	645.0693	0.0066
1/2	$C_{2v}$	332.1072	0.0010	$C_{2v}$	1207.3778	0.0008
2/2	$D_{2h}$	339.6393	0.0024	$D_{2h}$	1214.8998	0.0018
3/2	$C_{2v}$	347.1598	0.0033	$C_{2v}$	1222.4234	0.0029
4/2	$C_{2v}$	354.6939	0.0052	$C_{2v}$	1229.9559	0.0046
5/2	$C_{2v}$	362.2229	0.0063	$C_{2v}$	1237.4856	0.0057

Table 4  
B3LYP SCF energies and ZPEs values at 6-311G(d) level for  $\text{Li}_n\text{X}_m^+$

$\text{Li}_n\text{Na}_m$				$\text{Li}_n\text{K}_m$		
$n/m$	Symmetry	Energy (a.u.)	ZPE (a.u.)	Symmetry	Energy (a.u.)	ZPE (a.u.)
1/1	$C_{\infty v}$	169.6168	0.0004	$C_{\infty v}$	607.2728	0.0003
2/1	$C_{2v}$	177.1626	0.0015	$C_{2v}$	614.8097	0.0013
3/1	$C_{2v}$	184.6830	0.0023	$C_{2v}$	622.3288	0.0022
4/1	$C_{3v}$	192.2184	0.0041	$C_{3v}$	629.8564	0.0038
5/1	$C_s$	199.7497	0.0053	$C_{2v}$	637.3901	0.0051
6/1	$C_{2v}$	207.2943	0.0069	$C_{2v}$	644.9321	0.0067
1/2	$C_{2v}$	331.9540	0.0011	$C_{2v}$	1207.2443	0.0009
2/2	$D_{2h}$	339.4746	0.0020	$D_{2h}$	1214.7619	0.0016
3/2	$D_{3h}$	349.0080	0.0037	$C_2$	1222.2865	0.0026
4/2	$C_{2v}$	354.5389	0.0049	$C_{2v}$	1229.8171	0.0044
5/2	$C_{2v}$	362.0818	0.0064	$C_{2v}$	1237.3558	0.0058

Present study concludes the different isomer Fig. 1(l). The  $\text{Li}_4\text{Na}$  and  $\text{Li}_4\text{K}$  molecules were found to be a planar trapezoid ( ${}^2A'$ ,  $C_s$ ) Fig. 1(l) and (m), respectively. This can be described as a substitution of the peripheral Li atom by a Na/K atom in a planar trapezoid  $\text{Li}_5$  ( ${}^2A_1$ ,  $C_{2v}$ ) molecule [1–5,11,15,51] Fig. 1(k). We should note that our calculations found the ( ${}^2B_1$ ,  $C_{2v}$ ) bipyramid similar to Fig. 2(k) as global minimum for the neutral lithium pentamer, which is in agreement with previous prediction [6]. It is more stable than that isomer Fig. 1(k) only by 0.04 eV, so they may coexist experimentally. For comparison the geometrical configuration Fig. 1(k) is reported here.

The planar trapezoid ( ${}^2A_1$ ,  $C_{2v}$ ) Fig. 1(n) and Fig. 1(o) have been found as a global minimum for  $\text{Li}_3\text{Na}_2$  and  $\text{Li}_3\text{K}_2$ , which can be viewed as two substitutions of the peripheral Li atoms by two Na/K atoms in a planar trapezoid ( ${}^2A_1$ ,  $C_{2v}$ )  $\text{Li}_5$  cluster Fig. 1(k). The K atom bears positive charges due to its smaller IP value.

The bipyramid ( ${}^1A_1$ ,  $C_{3v}$ ) configurations Fig. 2(l) and (m) have been found as a global minimum for  $\text{Li}_4\text{Na}^+$  and  $\text{Li}_4\text{K}^+$ , which can be viewed as a substitution of the peripheral Li atom by a Na/K atom in the bipyramid ( ${}^1A'_1$ ,  $D_{3h}$ )  $\text{Li}_5^+$  cluster [3,11] Fig. 2(k). There exists a similar situation in ( ${}^1A'_1$ ,  $D_{3h}$ )  $\text{Li}_3\text{Na}_2^+$  cationic configuration Fig. 2(n).  $\text{Li}_3\text{K}_2^+$  ( ${}^1A$ ,  $C_2$ ) Fig. 2(o) cationic configuration can be described a deviation from two substitutions of the peripheral Li atoms by two K atoms in the bipyramid ( ${}^1A'_1$ ,  $D_{3h}$ )  $\text{Li}_5^+$  cluster Fig. 2(k).

### 3.1.5. $\text{Li}_{6-m}\text{X}_m$

Deshpande et al. [28] studied the ground state of the  $\text{Li}_5\text{Na}$ . Present calculation supports their results. The  $\text{Li}_5\text{Na}$  and  $\text{Li}_5\text{K}$  molecules were found to be a three-dimensional ( ${}^1A'$ ,  $C_s$ ) Fig. 1(q) and ( ${}^1A_1$ ,  $C_{2v}$ ) Fig. 1(r), respectively. These can be described as a symmetry-lowering deviation after a substitution of the peripheral Li atom by a Na/K atom in an octahedron  $\text{Li}_6$  ( ${}^1A_{1g}$ ,  $D_{4h}$ ) molecule [5,11,17,28] Fig. 1(p).

The structures of  $\text{Li}_4\text{Na}_2$  and  $\text{Li}_4\text{K}_2$  have been concluded to be three-dimensional ( ${}^1A_g$ ,  $D_{2h}$ ) configurations Fig. 1(s) and (t), which can be considered as a symmetry-lowering deviation

after two substitutions of the peripheral Li atoms by two Na/K atoms in a octahedron  $\text{Li}_6$  ( ${}^1A_{1g}$ ,  $D_{4h}$ ) molecule Fig. 1(p).

$\text{Li}_6^+$  ( ${}^2A_1$ ,  $C_{2v}$ ) [17] Fig. 2(p) can be viewed as a symmetry-lowering deviation from  $\text{Li}_6$  ( ${}^1A_{1g}$ ,  $D_{4h}$ ) molecule Fig. 1(p). The  $\text{Li}_5\text{X}^+$  and  $\text{Li}_4\text{X}_2^+$  cationic species are topologically analogous to their corresponding molecules. In fact, K atom always bears the most positive charges in  $\text{Li}_{n-1}\text{X}^+$  and  $\text{Li}_{n-2}\text{X}_2^+$  ( $n=5-7$ ).

### 3.1.6. $\text{Li}_{7-m}\text{X}_m$

Deshpande et al. [28] investigated the global minimum of the  $\text{Li}_6\text{Na}$  molecule. Current calculations support their predictions. The  $\text{Li}_6\text{Na}$  and  $\text{Li}_6\text{K}$  molecules were found to be a pentagon ( ${}^2B_1$ ,  $C_{2v}$ ) Fig. 1(v) and Fig. 1(w), respectively. This can be described as a substitution of the peripheral Li atom by a Na/K atom in a pentagonal ring  $\text{Li}_7$  ( ${}^2A'_2$ ,  $D_{5h}$ ) molecule [1,3,5,6,15,28,51] Fig. 1(u).

The geometrical rearrangement of  $\text{Li}_5\text{Na}_2$  and  $\text{Li}_5\text{K}_2$  were found as pentagonal ( ${}^2B_1$ ,  $C_{2v}$ ) configurations Fig. 1(x) and (y), which can be depicted as two substitutions of the peripheral Li atoms by two Na/K atoms in a pentagonal ring  $\text{Li}_7$  ( ${}^2A'_2$ ,  $D_{5h}$ ) Fig. 1(u).

The  $\text{Li}_6\text{X}^+$  and  $\text{Li}_5\text{X}_2^+$  cationic species have the similar structures to their corresponding molecules. K atom always substitutes peripheral lithium atom with the most negative charges in  $\text{Li}_{n-1}\text{X}^+$  and  $\text{Li}_{n-2}\text{X}_2^+$  ( $n=5-7$ ), however K atom bears the most positive charges after substitution due to their larger IP difference between Li and K atoms.

## 3.2. Relative stability

The experimental IPs [52–55] of  $\text{Li}_n$  ( $n=2-7$ ) and adiabatic theoretical IPs of  $\text{Li}_n\text{X}$  and  $\text{Li}_n\text{X}_2$  ( $n=0-7$ ) are shown in Figs. 3 and 4.  $\text{Li}_n$ ,  $\text{Li}_n\text{X}$  and  $\text{Li}_n\text{X}_2$  ( $n=0-7$ ) have a uniform magical-number character in the curves. The IP values exhibit the odd–even oscillations. Its tend to decrease with the increase in the size of these clusters, which suggests all clusters tend to be more and more unstable with increasing cluster size in this series. The oscillating behavior of the IPs reflects the change of spin multiplicity of the ground state of this series. Even-numbered

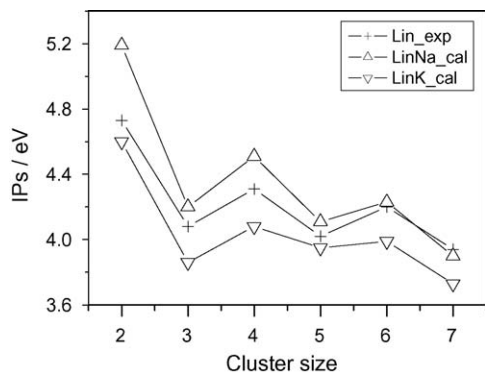


Fig. 3. IPs vs. the cluster size for  $\text{Li}_n\text{X}$  ( $\text{X}=\text{Li}, \text{Na}$  and  $\text{K}$ ).

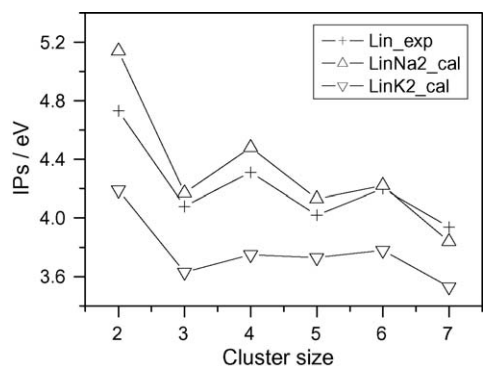
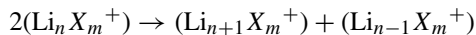


Fig. 4. IPs vs. the cluster size for  $\text{Li}_n\text{X}_2$  ( $\text{X}=\text{Li}, \text{Na}$  and  $\text{K}$ ).

clusters present the higher values of the IP with respect to their neighboring odd systems because it is more difficult to remove an electron from the doubly occupied HOMO of a closed-shell system than from a single occupied HOMO of an open-shell system.

To test the relative stabilities of cations further, the following energy variation of reactions is considered:



We define the energy variation in the formula as  $D2(E_n) = E_{n+1} + E_{n-1} - 2E_n$ , which is the second difference in energy for cationic series with invariable number of X atoms. Hence, we obtain the curves shown in Fig. 5 corresponding to

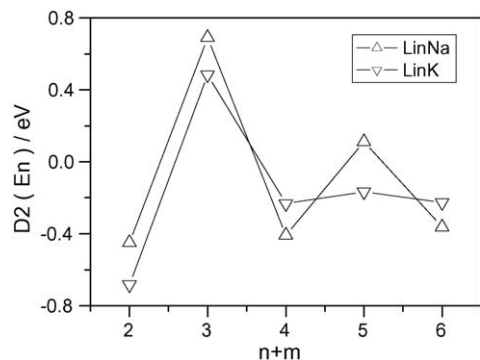


Fig. 5. Second difference in energy for cations vs.  $n+m$ .

the energy variations in the formula as  $n+m$ . It is evident that the  $D2(E_n)$  is larger as odd  $n+m$  and lower as even  $n+m$  in Fig. 5, which suggests that those  $\text{Li}_n\text{X}_m^+$  cationic species corresponding to odd  $n+m$  are more statically stable. The second differences in energy for  $\text{Li}_n\text{X}_2^+$  have analogical figure to  $\text{Li}_n\text{X}^+$  cations, and not drawn due to page limitation. The oscillating behavior of the second difference in energy also reflects the change of spin multiplicity of the lowest energy state of this series. The inverse stability of cations also derives from the difficulty in removing an electron from the doubly occupied HOMO of a closed-shell system. In unimolecular dissociation of alkali clusters formed from an evaporative ensemble [56], those dissociation energies of the lowest dissociation channel for  $\text{X}_n^+$  ( $\text{X}=\text{Li}, \text{Na}$  and  $\text{K}$ ) show an odd–even alternation, which support our predictions of stability.

Large peaks have been observed in the mass spectra of Li or Na clusters [57–59]. This peaks occurred for  $N=2, 8, 20, 40, 58,$  and  $92$  where  $N$  is the number of electrons in the cluster. Knight et al. [59] explained the occurrence of these magic numbers in terms of an electronic shell model. Using a jellium model for clusters, they showed that the energy per atom in the cluster is lowered in comparison to its adjacent clusters when the electron shells are completely filled. This simple explanation and its remarkable ability to explain the experimental features imply that the abundance of clusters is governed by a very fundamental principle. The special stability of  $\text{Li}_2, \text{LiX}, \text{Li}_2\text{X}^+$  and  $\text{LiX}_2^+$  should be attributed to filled-shells with two valence electrons.

#### 4. Summary

In this work, the charge distributions and stability of the  $\text{Li}_n\text{X}_m$  ( $\text{X}=\text{Na}$  and  $\text{K}, n+m=1-7, m=1-2$ ) neutral and cationic clusters were studied with B3LYP at the basis set of 6-311G(d). Our results reveal that all  $\text{Li}_{n-1}\text{X}$  or  $\text{Li}_{n-2}\text{X}_2$  ( $\text{X}=\text{Na}$  and  $\text{K}$ ) clusters can be derived from substitutions of the peripheral Li atoms with X atoms in the  $\text{Li}_n$  ( $n=2-8$ ). Atomic charges depend on its position and ability to obtain/lose electron. K atom bears the most positive charges in the cations. Larger heter-atoms favor to occupy outer positions in order to decrease geometrical reconstruction. The neutral bimetallic clusters remain more planar for the larger sizes ( $n < 7$ ) than their corresponding cations ( $n < 5$ ). In various  $\text{Li}_n\text{X}_m$  ( $\text{X}=\text{Na}$  and  $\text{K}, n=1-6, m=1-2$ ) species,  $\text{Li}_n\text{X}_m$  ( $n+m=\text{even number}$ ) clusters are predicted to be of high stability, which can be explained by difficultly removing an electron from the doubly occupied HOMO of a closed-shell system. The special stability of  $\text{Li}_2, \text{LiX}, \text{Li}_2\text{X}^+$  and  $\text{LiX}_2^+$  should be attributed to filled-shells with two valence electrons. Their stability tends to decrease with the increase in the size of these clusters.

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